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The state of the s	Application Number	10/821,042
TRANSMITTAL	Filing Date	04/08/2004
FORM	First Named Inventor	Chawla
(to be used for all correspondence after initial filing)	Art Unit	2131
	Examiner Name	To be assigned
Total Number of Pages in This Submission 18	Attorney Docket Number	AUS920030805US1
E	NCLOSURES (Check all that	t apply)
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer Request for Refund CD, Number of CD(s)	to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): 2 IDS References; Post card
Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	emarks oplemental IDS with copies of two refe	
· · ·	E OF APPLICANT, ATTORN	EY, OR AGENT
Firm or Individual name CARR LLP Gregory W Carr, Reg. No. 31,0 Signature Date	<u></u>	
CERT	IFICATE OF TRANSMISSION	I/MAILING
I hereby certify that this correspondence is being t	facsimile transmitted to the USPTO or	deposited with the United States Postal Service with tents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name Bradley D. Ellis		
Signature Bulley	V Ellis	Date 8/34/04

This collection of information is required by 37 CPR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ATTORNEY DOCKET NO. AUS920030805US1 (IBM 2832000)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Anil Jagdish Chawla, et al.

Serial Number: 10/821,042

Filed: April 8, 2004

For: METHOD AND SYSTEM FOR

DISTINGUISHING RELEVANT
NETWORK SECURITY THREATS
USING COMPARISON OF REFINED
INTRUSION DETECTION AUDITS AND
INTELLIGENT SECURITY ANALYSIS

Group Art Unit: 2131

Examiner: To be assigned

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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I hereby certify that the original paper identified herein is being deposited with United States Postal Service as first class mail, postage prepaid, to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Bradley D. Ellis

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant(s) wish to bring the items cited in the attached Form PTO/SB/08 to the attention of the Examiner. A copy of each item is enclosed for the convenience of the Examiner.

PATENT APPLICATION SERIAL NO. 10/821,042

ATTORNEY DOCKET NO. AUS920030805US1 (IBM 2832000)

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects the relative pertinence. The references cited are not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that the items listed in the attached Form PTO/SB/08 are properly citable against the above-identified application as prior art.

Applicant(s) consider the invention to be distinguishable over the references cited.

As this Information Disclosure Statement is being filed before the issuance of the first office action, no fee is enclosed. However, if a fee were to be required, please charge any fee (other than an issue fee) required during the pendency of this U.S. patent application to Deposit Account No. 50-0605 of CARR LLP.

Respectfully submitted,

CARR LLP

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT

TTORNEY DOCKET NO.: AUS920030805US1 SERIAL NO.: 10/821,042

APPLICANT:

EXAMINER: To be assigned

FILING DATE: 04/08/2004

Anil Jagdish Chawla et al

GROUP: 2131

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No.1	U.S. Patent Document Number	Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Figures Appear
	AA					,
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH				1	

FOREIGN PATENT DOCUMENTS

Cite No. 1	Forei	gn Patent Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
ВА							
ВВ							
BC							
BD							

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

CA	
СВ	ANTHES, Gary H., "Computerizing Common Sense"; COMPUTERWORLD, www.computerworld.com/news/2002/story/0,11280,69881,00.html; Apr. 8, 2002; Computerworld, Inc., U.S.A.
СС	STATSOFT; "Cluster Analysis"; www.statsoftinc.com/textbook/stcluan.html ; 2003; StatSoft, Inc., U.S.A.
CD	

EXAMINER:

DATE CONSIDERED:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. *EXAMINER: Include copy of this form with next communication to application.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issue the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Place a check mark here if English language Translation is attached.